

Listing on the **ECSE**

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OUR MISSION

To provide the Eastern Caribbean Currency Union with an accessible marketplace in which to issue and trade securities, to clear and settle trades, and to register companies in a transparent, seamless, confidential, and secure manner

OUR VISION

To be the medium of choice for wealth creation and capital-raising in the Eastern Caribbean Currency Union.



Who We Are

The ECSE is a fully electronic exchange established in October 2001. It is headquartered in St Kitts -Nevis and was established to facilitate the buying and selling of financial products including corporate stocks and bonds and government securities.

The ECSE has a wholly owned subsidiary, the Eastern Caribbean Central Securities Depository Ltd (ECCSD), which facilitates the post-trade clearing and settlement functions. It also registers and maintains securities' holders records, facilitate transfers and processes corporate actions such as dividend and interest and redemption payments.

The establishment of the ECSE was promoted by the Eastern Caribbean Central Bank (ECCB) as a part of the program to develop money and capital markets in the Eastern Caribbean Currency Union (ECCU) member countries.

The ECSE is a public limited liability company that has a wide ownership structure comprising shareholders from 11 countries across the Caribbean.

About The Markets

The ECSE operates a regional securities exchange called the Eastern Caribbean Securities Market (ECSM), where corporate securities are traded seamlessly across national borders.

The ECSE also hosts a regional market for government securities, the Regional Government Securities Market (RGSM), in which regional Governments' debt instruments are issued and traded.

The market is regulated by an independent regulatory body, the Eastern Caribbean Securities Regulatory Commission (ECSRC), under the Securities Act of 2001 and its regulations, which together comprise a uniform body of regional legislation governing securities market activities.



Market Features

Listed Securities

Currently there are 162 securities listed on the ECSE

Available Securities

Government Bonds, Treasury Notes, Treasury Bills, Corporate Paper and Equities

Broker-Dealers

There are currently 6 broker-dealers located in 4 ECCU Member Countries



OUR MAIN SERVICES

Trading

Facilitate the trading of corporate securities (equity and debt) and Government debt

Settlement

Facilitate the transfer of ownership of securities traded and the payment for the securities traded

Registrar

Maintain the register of investors in electronic (book entry) form

Paying Agent

Facilitate the payment of dividends, principal and interest to investors on behalf of Issuers

Benefits of Listing on the ECSE



Access to Organized Market

Provides opportunity to trade shares on a organized and regulated market which is safer for investors



Improved Liquidity

Listing improves the liquidity of a company's shares and price discovery



Increased Public Awareness

Improves a company's image as a good corporate citizen, improves credibility and brand awareness



Improved Access to Information

Continuing disclosure requirements provides investors with improved access to information about listed companies



Access to Capital

Provides access to a larger pool of capital to fund growth and special projects



Lower Transfer Costs

Shares can be bought and sold without the requirement to pay stamp duty



Enhance Employee Compensation

ESOPs can be established to enhance employee compensation and can help to attract top tier talent





GET IN TOUCH

The ECSE can be contacted via any of the options listed below

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THANK
YOU

Do you have any questions?